



REGIS-TR

a SIX company

Meeting Your FinfraG Reporting Needs

The Swiss Financial Market infrastructure Act (“FinMIA”), also known under the German denomination FinfraG (Finanzmarktinfrastrukturgesetz), came into force on 1 January 2016, and regulates derivatives trading in Switzerland. FinfraG requires all entities which have their registered office in Switzerland who trade in derivatives to report such activity to the Swiss Financial Market Supervisory Authority “FINMA”. The reporting obligation includes derivatives trading by foreign branches of such entities as well.

KEY BENEFITS

Ease of Use

- Wide range inbound and outbound connectivity channels
- New common connectivity and graphical user to access your FinfraG transaction data

Simple Onboarding

- Tailor made reporting solutions to support your needs
- Reporting solutions for participants of all sizes
- Free EMIR, UK EMIR, SFTR and FinfraG test environments

Comprehensive Support

- Tailor made reporting solutions to support your needs
- Reporting solutions for participants of all sizes
- Free EMIR, UK EMIR, SFTR and FinfraG test environments

Cost Efficient

- A transparent and easily understandable fee schedule
- Cost illustration available from your relationship manager

Data Confidentiality

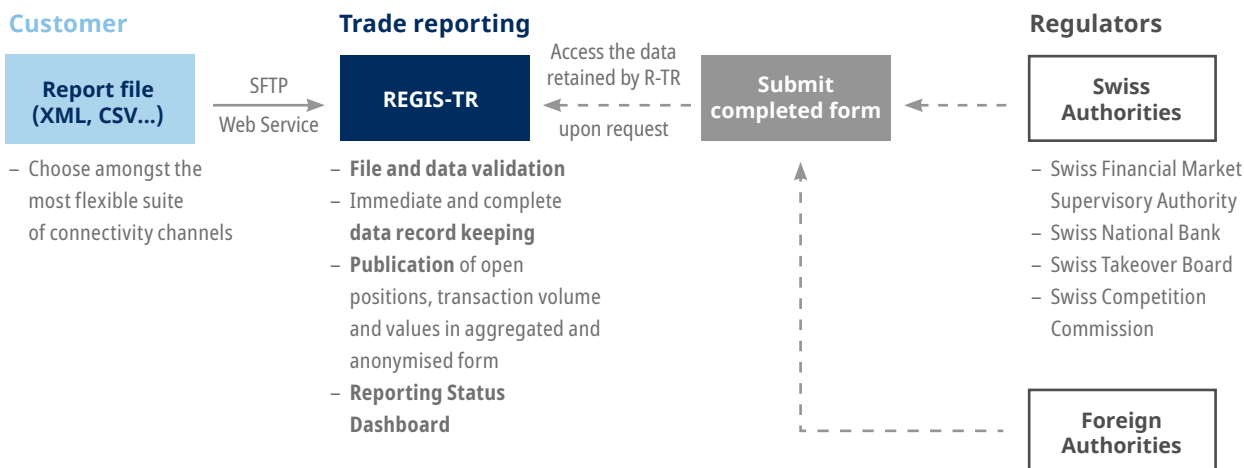
- Data access to Swiss and Foreign authorities only granted under the strict terms of FINMA provisions.



Meet Your Reporting Obligation

REGIS-TR delivers a comprehensive reporting solution allowing customers to report directly or through delegation. Customers are able to use REGIS-TR’s service to report both Exchange Traded Derivatives (ETDs) and over-the-counter (OTC) derivative transactions at all stages of the transaction lifecycle. Customers benefit from a holistic service that includes Format and Business rule validation, and immediate and complete data recording keeping. A flexible suite of connectivity channels support customers to report their transactions, which includes both SFTP and SOAP Web service connection as well as a dedicated web portal. Customers are also able to submit their reports in different file formats including XML and CSV, and are able to track their reporting status in an aggregated form on a multi-regulation basis. Access to data will only be granted to authorities authorised under FinfraG via formalised request form. Publication of open positions, transaction volume and values will be published in aggregated and anonymised form via the REGIS-TR website.

REGIS-TR FinfraG Trade Reporting

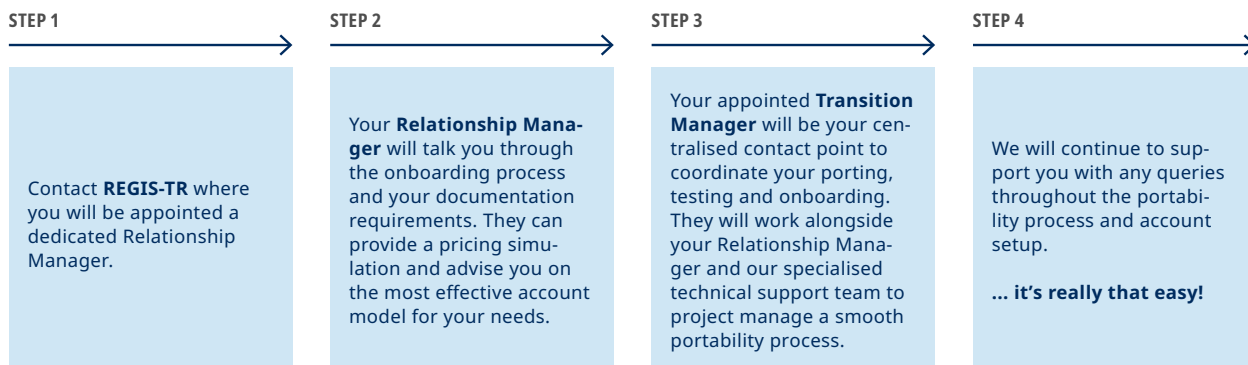


One Partner to Cover All Your Reporting Needs

There are broad similarities between FinfraG reporting obligations and the EMIR reporting framework. Hence, a consistent reporting approach should be favoured in order to avoid duplications and to set up an efficient mechanism for reporting under these two regulations. REGIS-TR clients can leverage on existing infrastructure (including connectivity and message formats) to fulfil both EMIR and FinfraG reporting obligations, thereby building synergies in their reporting requirements.

REGIS-TR's vision is to provide its customers with a one-stop shop, and one common connectivity and Graphical User Interface (GUI) for all their European regulatory reporting requirements. Our customers benefit from centralised services that cover an increasing range of reporting needs: in addition to our FinfraG service, our customers also benefit from EMIR and SFTR reporting services as well as UK EMIR through our sister UK TR, REGIS-TR UK LTD.

Getting Started



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