

ROUNDED UP

THE OFFICIAL NEWSLETTER OF THE REGIS-TR GROUP



REGIS-TR

EUROPEAN TRADE REPOSITORY

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The seasons are changing into the colder months now that we have enjoyed our summer holidays and the REGIS-TR team are preparing for all that 2022 has to bring.

With the exciting news that SIX will become our sole shareholder, we are looking forward to this integration and all that this means for the future success of REGIS-TR.

Restrictions continue to ease and our teams are getting back together safely in the office (and of course for some drinks!) We look forward to attending our first live industry event in December and hope that our 2022 client events can be held in person and we can see you all again soon.

REGIS-TR, LED INTO THE NEXT DECADE BY THE SIX GROUP

REGIS-TR was founded in 2010 as a joint venture between BME's Iberclear and Deutsche Börse's Clearstream, and has successfully evolved into a leading European trade repository with a compelling and well-established offering, processing over 3.2 billion trade messages during 2020.

With the support from both shareholders, REGIS-TR has developed over the decade to having two entities across four locations and is the chosen TR for over 2,000 European clients across a variety of corporate institutions. Our team of some 250 experts work closely together to provide the best-in-class service across key European regulations with the philosophy to offer a fresh, client-centric alternative for market participants' reporting needs.

With with the TR space fast evolving and seeing significant consolidation – SIX identified this a great opportunity to integrate REGIS-TR into the SIX Group and acquired Clearstream's 50% share. With SIX, we will continue growing and developing the business as we continue to navigate the ever-changing regulatory landscape.

We reflect fondly on the support that Clearstream and Deutsche Börse have provided us with over the years in helping us flourish in our success story.

Javier Hernani, Head Securities Services & member of Executive Board, SIX, highlighted: *"We are very happy to now fully integrate the REGIS-TR business, which has already been an integral part of BME's business portfolio. We look forward to taking the business into its next phase of development within SIX. We are delighted to now fully welcome the entire REGIS-TR team and their talent and expertise to SIX."*

Thomas Steimann, CEO of REGIS-TR said: *"With the acquisition, REGIS-TR will continue to leverage on the strong operational connections with SIX, we will take advantage of a very experienced and seasoned management team with a deep knowledge of the Trade Repository environment and continue with our dedication to service excellence for our customers."*

We would like to reiterate that this change in shareholders does not have any adverse impact to our clients. There will be no disruption to our operations or service support and our clients will be served with the same degree of expertise and dedication as before with all staff remaining in place.

Please reach out to your dedicated Relationship Manager for any queries you may have.

SEASON 5 OF THE ROUNDUP

Now in our 5th season, **The REGIS-TR RoundUp** continues to go from strength-to-strength, holding a place in the Top 10 Business News Podcasts in the UK, Luxembourg, France, Germany and Spain.

We continue to bring our listeners all the latest news and views in the TR space and the wider financial industry from the REGIS-TR team and guests from different corners of the industry.

Alongside regtech, fintech, regulatory reporting and financial discussions, we are working alongside **Dr Lulu Preston**, our resident clinical psychologist who gives us life-hacks and advice to keep us positive and understand some feelings that the pandemic may have brought. We have also welcomed Catherine Henrotte, a certified Coach & Trainer at **SOLU4CO** to our podcasts, advising us on how to succeed in a work-life integration.

REGIS-TR GIVING BACK

Some of the REGIS-TR Luxembourg office recently spent a rewarding day at the SOS Children's Village in Mersch building furniture, terraces and planting trees for the children. The perfect way to give back to our community and support those less fortunate.

You can read all about the work that the SOS Children's Village do [here](#).



MEET THE TEAM

CORPORATE AFFAIRS & BILLING



Monika Duplantier
Pricing & Billing Manager

Languages: English, German, French, Spanish

Based in the Luxembourg office.

'Billing and invoicing is often seen as a small admin task in a complex processes – but one we must get right! Working in billing provides the opportunity to have a detailed view into how clients report, how data is extracted from systems, and how pricing is applied to data volumes. It's fun to follow through each individual step. With the help of colleagues both in Luxembourg and Madrid, each month-end processing is different!'



Valentin Sofroni
Corporate Affairs

Languages: English, German, Romanian

Based in the Luxembourg office.

'Working in Corporate Affairs is so diverse - from working across financial topics to working with contracts, audit and IT. Because of this diversity, I have the pleasure to interact and connect with colleagues and externals both in Luxembourg and all over Europe, allowing me the opportunity to develop my cultural awareness and keep learning.'

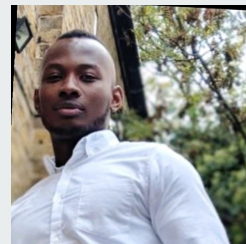


Simon McKay
Corporate Affairs Manager

Languages: English, French, Spanish

Based in the Luxembourg office.

'Corporate Affairs provides the opportunity work with colleagues across REGIS-TR in different locations, as well as with our service providers such as the BME/ SIX Group and Deutsche Börse group, as well with a variety of external service providers. The broad range of activities requires flexibility and creativity, and means that no day is ever the same!'



TG Onuba
Corporate Affairs

Languages: English, Pigin, Igbo

Based in the London office.

'The versatility of the role and the opportunity to interact with various colleagues within REGIS-TR (across it's multiple locations) and colleagues within the Deutsche Börse and BME Groups are some of the most interesting and exciting aspect of the role. There is certainly something new to learn each day.'

TECHNICAL NEWS

COVERING EMIR, SFTR, FINFRAG & UK EMIR

Upcoming Releases

REGIS-TR	2021		
	Q4		
	Oct	Nov	Dec
SFTR			13 Dec
EMIR		29 Nov	
FinfraG		15 Nov	
UK EMIR		29 Nov	

ESMA's Risk Dashboard

ESMA recently published an updated Risk Dashboard highlighting that the risk landscape is expected to remain high. Evaluations are similar or higher than they were pre-COVID and there are elevated trading volumes which increase the risk of market corrections.

Listen to our Head of Institutional Relations, Laura Rodriguez discuss it on our episode of the REGIS-TR RoundUp (S5 E2) on all your favourite streaming platforms.

UPCOMING IN Q4

REGIS-TR S.A. Client Event

Digital Event

Wednesday 10 November, 14.30 CET

The REGIS-TR team are back with another digital event for our clients. You will hear from the REGIS-TR team and guest speakers and have the opportunity to ask any questions you may have.

If you have not received a Save the Date, please contact your Relationship Manager directly.

October 2021

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Change in Fee Schedules EMIR/SFTR

We are frequently improving, developing and investing in our systems in line with changes in the regulations which means that we must occasionally adjust our pricing to reflect these incurred costs.

Updated fee schedules are now available on our public website by navigating to

[Key Documents > REGIS-TR S.A. > Fee Schedules](#)

Updated EMIR Client Handbook

An updated version of the EMIR Client Handbook will be available from 18 October 2021 with additional definitions to the field erasing protocol, and some clarifications and corrections about file naming, file submission and connectivity options.

The latest Client Handbook can be found on our public website by navigating to

[Key Documents > REGIS-TR S.A. > Handbooks](#)