

ROUNDED UP

THE OFFICIAL NEWSLETTER OF THE REGIS-TR GROUP



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As we approach 2022, we would like to once again take this opportunity to thank all of our clients for trusting REGIS-TR as their chosen TR. The challenges that have spilled into 2021 have made it a difficult year, but that makes the successes more triumphant. We continue to appreciate the resilience shown by our clients and partners, and our teams across Europe.

2022 will see the much anticipated SFTR schema update and more preparation as we approach EMIR REFIT. As your TR, we commit to continue ensuring that all new releases and regulatory changes are introduced efficiently and seamlessly and remain at your disposal for any support you may need.

From all of us at REGIS-TR, we wish you a wonderful festive season, and a happy, healthy and prosperous New Year!

SUPPORTING MARKET PARTICIPANTS IN THE RUN UP TO EMIR REFIT

BY IRENE MERMIGIDIS

With EMIR REFIT on the horizon, and a key hot topic within the regulatory reporting space, it is our responsibility to support Market Participants in their understanding and preparation of the upcoming changes that are currently being agreed ahead of implementation.

To many, regulatory reporting is still seen as a necessary evil and participants don't often welcome the constant change in framework. The changes we will see as part of REFIT will be seismic and, whilst we know broadly what it will entail, the details remain unconfirmed. With regulatory reporting, the devil is in the detail. Whilst REGIS-TR, along with our fellow TRs, are committed to providing the support, information and advice that our participants need, we cannot provide direct legal or compliance advice on individual reporting obligations.

Advice must go alongside a disclaimer that we cannot act as a legal or compliance counsel, but rather provide as much information as possible for them to reach their own conclusions.

TRs understand that REFIT remains a moving target making it difficult to prepare for. Beyond the impact of REFIT itself, there is also the complexity of a possible (and likely) divergence between EMIR REFIT and UK EMIR REFIT – to which we still do not know the extent of. The risk that participants across the EU and UK may have to maintain 2 sets of reporting standards will be problematic, but as a TR we are here to support and guide our clients through these changes – however turbulent. If any of our participants have any concerns, we urge them to contact their REGIS-TR service support team to discuss.

HELPING OVER THE HOLIDAYS

Each year, instead of sending Christmas cards, we send a donation to Save the Children - the largest independent children's rights organisation globally. For over 100 years, Save the Children have worked across 122 countries fighting for a fairer world for children to grow up in.

To find out more about Save the Children or to donate yourself, you can visit their website:
<https://www.savethechildren.de/>



**Save the
Children**

REGIS-TR S.A. CLIENT EVENT

10 NOVEMBER 2021 - DIGITAL EVENT

We had a fantastic time hosting so many of our clients across Europe in our final digital event of 2021 - thank you to all for tuning in. Our clients heard from some of our management and team as we presented some key regulatory changes that are upcoming in 2022 - particularly the new SFTR schemas and the continued preparation for REFIT.

We were delighted to host Adrian Dale and David Shone from the International Securities Lending Association for a panel looking into the Common Domain Model which is now available as a podcast episode on the REGIS-TR RoundUp. Guests also heard from Dr Lulu Preston who hosted a session of key tips, tricks and brain hacks to look after ourselves and our mental wellbeing as we return to the office and life gets busier.

MEET THE TEAM

INTERNAL AUDIT & COMPLIANCE



Matthew Hill
Chief Compliance Officer
 for REGIS-TR UK Ltd

With a new reporting regime in the UK following Brexit there has been many challenges to overcome. Not only starting and setting up a new entity but also coping to the changes the world is currently going through. The UK team have been wonderful and together we are building a very successful business.



Xavier Karthaeuser
Chief Internal Auditor
Languages: English, French
 Based in the Luxembourg office.

The Internal Audit objective is to contribute to the improvement of the effectiveness of governance and internal control processes, and to support the business objectives by providing assurance and consultancy services. It represents an excellent opportunity to enhance my knowledge of the business and IT processes, and to develop competencies needed to perform tasks.



Raquel Landeira Suárez
Internal Audit
Languages: English, French, Spanish and Portuguese...German is work in progress

As Internal Auditor, being focused on the enhancement and protection of the organisational value is very stimulating for me. I enjoy being exposed to the various processes within REGIS-TR, that allow me to continually learn. The interaction with colleagues from different departments and locations, makes every day different and fun!

TECHNICAL NEWS

COVERING EMIR, SFTR, FINFRAG & UK EMIR

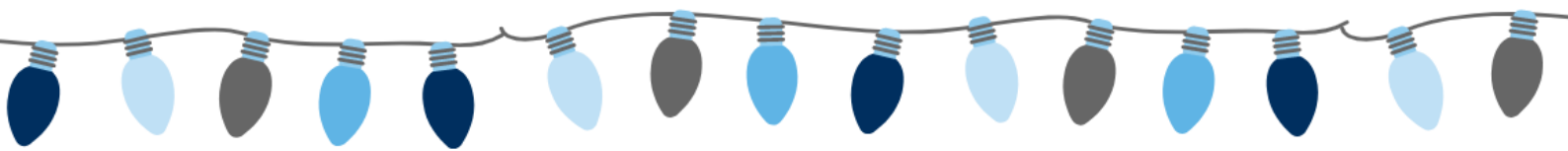
Opening Hours

We would like to remind you that REGIS-TR will be closed on 25 December 2021 and 1 January 2022.

Please also note the change to Client Services opening hours on 24 and 31 December. On these dates, Client Services support will be available from 07:00 – 15:00 UTC.

SFTR December Release

The SFTR December release is live from 20 December 2021. This release includes changes to the inbound and outbound reporting schemas and adaptations to the new validation rules. A second UAT environment has been set up in isolation from the current UAT to allow for parallel testing of the two software versions.



UPCOMING IN 2022

REGIS-TR RoundUp, Season 6

Back for the third year, the RoundUp will be launching Season 6 in January. You can expect more preparation for EMIR REFIT, a deep dive into the new SFTR schemas and more in-depth discussions around the financial services and TR space, fintech, regtech and everything in-between.

SimCorp, April 2022

Nice, France

The REGIS-TR Team will be attending SimCorp's annual conference in Nice in Q2 next year! We are looking forward to seeing you there.

December 2021

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