



REGIS-TR

a SIX company

EMIR
Fee schedule

Information for clients

October 2024



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CONTACTS

Payment enquiries	payments@regis-tr.com	
Billing enquiries	regis-tr-invoices@regis-tr.eu	
Subscriptions, invoice reconciliation reports & changes to electronic invoice recipient addresses	OnBoarding@regis-tr.com	
Client services/Technical support	+34 91 709 5570 emir-support@regis-tr.com	
Relationship Management	commercial@regis-tr.com	
Account Administration (postal address)	REGIS-TR S.A. Calle de la Tramontana, 2bis E - 28231 Las Rozas de Madrid - Madrid Spain	
www.regis-tr.com		
Calls may be recorded for monitoring and quality control purposes.		

INTRODUCTION

Introduction	This Fee Schedule provides information on our fees, invoicing and payments for the EMIR reporting service.
Openness and transparency	<p>REGIS-TR operates an open and transparent pricing policy in accordance with FRAND pricing principles. We aim to be fair, reasonable and non-discriminatory, adhering to the regulatory requirements governing the pricing of trade repository services.</p> <p>We provide our clients with a clear, straightforward Fee Schedule containing all the information required to estimate actual costs. Our fees are cost-related and reflect the clients' actual system usage. We regularly review our pricing structure to ensure our fees remain competitive and cost effective.</p>
Validity	This Fee Schedule is valid from 15 th October 2024 until further notice.
Contacts and support	For billing, invoicing and payment enquiries, please refer to the Contacts page or contact your Relationship Manager.
This document covers:	<ol style="list-style-type: none"> 1. EMIR fee schedule 2. EMIR fee structure 3. Invoicing and payments 4. Invoice layouts
Related documentation	The EMIR Client Handbook provides information on the EMIR reporting service and ancillary functions.

EMIR FEE SCHEDULE

Membership fee	Amount	Basis
Reporting Participant (RP), Third Party Internal (TP) or Third Party External (TE) permission	715	Per month
Non-Reporting Entity (NR) permission registration	550	Upon permission registration (once only)
NR permission	2,400	Per year
Reporting fee	Amount	Basis
Up to 500 new derivative reports	-	Per NEWT, POSC message
New CFD, Commodity Forward, Currency Forward, Currency FRA and Currency Swap Trades	0.030	
All other new derivative reports	0.075	
Large user reporting fee	Amount	Basis
New CFD, Commodity Forward, Currency Forward, Currency FRA and Currency Swap Trades	0.00035	Per NEWT, POSC message
All other new derivative reports	0.00085	
Maintenance fee	Amount	Basis
Normal user	0.080	Monthly per open contract
Large user	-	
LEI updates	Amount	Basis
First 100,000 updates	3,000	Records with updated LEI per request
Every next 100,000 updates	200	
External Portability	Amount	Basis
Fixed fee	3,000	Per porting request
0 – 10,000 open contracts	0	Additional flat fee
10,001 – 50,000 open contracts	4,500	
50,001 – 100,000 open contracts	8,000	
100,001 – 250,000 open contracts	11,000	
250,001 – 500,000 open contracts	15,000	
Over 500,000 open contracts	25,000	
Other fee	Amount	Basis
Ad-hoc services	230	Per hour on weekdays during office hours (8:00 a.m. – 6:00 p.m. CET)
	345	Per hour on weekdays, outside of office hours

	460	Per hour on weekend and public holidays
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Notes:

- All fees are in EUR and net of VAT.
- Fees are charged monthly unless otherwise stated.
- A Member or family group exceeding a total cumulative invoice amount of **EUR 380,000** per calendar year (excluding VAT) has reached the threshold for the large user cap. Once reached, the large user reporting fee replaces the normal reporting fee, and maintenance fees will be waived. **Further information:** see [Large User cap and large user reporting fees](#).

EMIR FEE STRUCTURE

This section applies to:	All REGIS-TR EMIR participants.
Introduction	<ol style="list-style-type: none"> The EMIR Fee Schedule for Reporting Participant and Third Party permissions includes a fixed membership fee, a variable reporting fee and a variable maintenance fee Non-reporting participants are charged an annual membership fee plus a one-off registration fee A cap is applied to the monthly invoice total where an Account exceeds the threshold stated in the EMIR Fee Schedule. Once the large user cap is reached, the large user reporting fee replaces the normal reporting fee and maintenance fees will be waived Ad-hoc services are charged at an hourly rate Fees are subject to change with prior notice.
Cost projections	For cost projections, please contact your Relationship Manager, the Relationship Management team or Client Services. Further information: see Contacts .
This section covers:	<ol style="list-style-type: none"> Non-reporting permission fees Reporting permissions fees Ad-hoc service fees Family groups

Non-Reporting permission fees (Fee code: 7300)

This section applies to:	Non-Reporting participant (NR)
This section covers:	<ol style="list-style-type: none"> Registration fee Membership fee.
Registration fee	This is a one-off fee charged per permission upon opening.
Membership fee	<p>A membership fee is charged for each REGIS-TR permission, upfront in the January invoice. In case the permission is set up during the calendar year, the fee will be pro-rated, accordingly.</p> <p>Note: the annual fee will not be refunded if the permission is revoked during the year.</p>

Reporting permission fees

This section applies to:	<ol style="list-style-type: none"> Reporting Participant permission Third Party Internal permission Third Party External permission
This section covers:	<ol style="list-style-type: none"> Membership fees Reporting fees Maintenance fees

	<ol style="list-style-type: none"> 4. UAT Environment fees 5. Special services fees 6. Large User cap and large user reporting fees
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Membership fees (Fee code: 7300)

Fee charge	The membership fee is a fixed monthly charge on each active REGIS-TR permission.
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Reporting fees (Fee code: 7310)

Introduction	The reporting fee is charged once for each report of a new trade.
Billing allocation	<ul style="list-style-type: none"> • If a reporting participant (TP, TE, RP) reports a trade on behalf of another REGIS-TR reporting participant (with a permission different than Non-Reporting), the fee is billed to the Account of the latter participant. • If a reporting participant reports a trade on behalf of a REGIS-TR Non-Reporting participant, or an entity without a REGIS-TR Account, the fee is billed to the Account of the reporting participant.
Charging structure	<ul style="list-style-type: none"> • The first 500 trades reported in a billing month are free of charge • Different fee rates apply to new CFD, Commodity Forward, Currency Forward, Currency FRA and Currency Swap trades reported, as opposed to all other new OTC/ETD trades • All life cycle events of a trade (MODI, VALU, CORR, TERM, EROR, REVI, MARU) are free of charge.

Maintenance fees (Fee code: 7320)

Introduction	This fee is charged monthly for the maintenance of open trades.
Billing allocation	<ul style="list-style-type: none"> • If a reporting participant (TP, TE, RP) maintains an open contract on behalf of another REGIS-TR reporting participant (with a permission different than Non-Reporting), the fee is billed to the Account of the latter participant • If a reporting participant maintains open contract on behalf of an entity that is a REGIS-TR Non-Reporting participant, or does not have a REGIS-TR Account, the fee is billed to the reporting participant.
Charging structure	<ul style="list-style-type: none"> • Maintenance fees are charged to open contracts reported via Reported Trade Message (NEWT), that have been active in the system at any time during the invoicing period. • Open contracts reported at position level with quantity or notional amount equal to zero are subject to maintenance fees. • Contracts reported as matured via Reported Trade Message (NEWT) trigger maintenance fees for the first month that they are reported.

- New contracts that are cancelled on the reporting date with action type “TERM” trigger maintenance fees.

UAT environment fees

UAT test environment	The User Acceptance Test (UAT) environment is available for Members wishing to test new system features and solutions. This service is free of charge.
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LEI updates (Fee code: 7350)

Introduction	In order to guarantee data consistency of its systems, REGIS-TR follows a strict protocol for LEI changes in the context of a TR Question 40 procedure. LEI changes linked to updates of former BIC, CLC, IEI and COD codes, and also LEI changes related to mergers, acquisitions and other corporate actions.
Charging structure	<ul style="list-style-type: none"> • The fee covers administrative tasks, system preparation, quality controls and external communication stages. • For every requested LEI update a single fee of EUR 3,000 is charged. • For every LEI update that reaches over 100,000 records updated, additional EUR 200 will be charged for every other tranche of 100,000 records updated. • LEI update service fees are not included in the large user cap calculation.

External portability (Fee code: 7350)

Introduction	The fee is charged anytime transfer of data to another trade repository is requested. The portability is executed in accordance with ESMA's 'Guidelines On Portability Between Trade Repositories'
Charging structure	<ul style="list-style-type: none"> • The fee covers administrative tasks, system preparation, quality controls and external communication stages. • For all open contracts to be transferred a single fee of EUR 3,000 is charged. • For number of open contracts falling within the scale tranche, the fee will apply on top of the fixed fee. • External portability service fees are not included in the large user cap calculation.

Large User cap and large user reporting fees (Fee code: 7310)

Large User cap	A large user cap is applied to the total of all membership, reporting and maintenance fees charged to the Account holder in the calendar year. Once the cap threshold is exceeded, membership fees and fees for ad-hoc services are still applicable, the large user reporting fee replaces the normal reporting fee, and maintenance fees will be waived. Further information: EMIR Fee Schedule
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Large user cap for family groups	A large user cap for family groups is applied to the total reporting, maintenance and membership fees charged to account holders in the same family group in the calendar year. Once the amount of the cap has been reached across all Accounts within the family group Membership fees and fees for ad-hoc services are still applicable, the large user reporting fee replaces the normal reporting fee, and maintenance fees will be waived. Note: Invoices are issued separately for each client's legal entity.
Charging structure	<ul style="list-style-type: none"> • The large user reporting fee is charged once for each report of a new trade • Different fee rates apply to new CFD, Commodity Forward, Currency Forward, Currency FRA and Currency Swap trades reported, as opposed to all other new OTC/ETD trades • All life cycle events of a trade (MODI, VALU, CORR, TERM, EROR, REVI, MARU) are free of charge.

Ad-hoc service fees (Fee code: 7350)

Introduction	<p>These fees are for operational services and ad-hoc requests that are outside the normal run of business and are not covered by other fees.</p> <p>Examples:</p> <ul style="list-style-type: none"> • Provision of additional REGIS-TR reports
Charging structure	<ol style="list-style-type: none"> 1. These services are charged at an hourly rate, which is set according to the service required 2. A final fee will be agreed before the work begins 3. Ad-hoc service fees are not included in the large user cap calculation

Family groups

Introduction	Where two or more clients belong to a family group, the group is considered as a sole entity for pricing purposes.
Definition	<p>Family groups commonly include:</p> <ul style="list-style-type: none"> • The parent company, and • Any affiliate that is more than 50% owned and directly or indirectly controlled by the parent company. <p>Note: REGIS-TR may also accept other corporate structures as family groups, if the structure qualifies as a family group in its own country.</p>
Members	Reporting participant, Internal Third Party participant and Non-Reporting participant permissions may belong to the same family group. Note: External Third Party permission cannot be accepted as family group members. This is because, by definition, an External Third Party permission cannot report on behalf of an entity that belongs to its family group.
Review and approval	<p>Family group structures are reviewed and approved by REGIS-TR on a case-by-case basis. New clients should:</p> <ul style="list-style-type: none"> • advise REGIS-TR if they are part of an existing family group, and

- provide proof of membership of that family group.

INVOICING AND PAYMENTS

Invoicing

Introduction	<p>Invoices are distributed electronically on a monthly basis for each client's legal entity, via PDF attached to emails that are transmitted using Transport Layer Security (TLS) encryption.</p> <p>Note: Non-Reporting participants are invoiced annually in advance.</p> <p>This electronic service is free of charge. Opting out of the electronic service is no longer possible.</p>
Invoice contents	<ol style="list-style-type: none"> 1. Our invoices are designed to be clear, comprehensive, transparent, and in line with EU invoicing guidelines. 2. Each invoice sets out which services were used, the rates applied, fees charged and discount applied, if any. <p>Our EMIR invoices are structured in the same way as the EMIR Fee Schedule for ease of comparison.</p>
Beneficiary information	<ol style="list-style-type: none"> 1. The Beneficiary details on your invoice are those supplied by you in the Application Form (Appendix 2). 2. REGIS-TR will require a new Appendix 2 form for any change to the following: <ol style="list-style-type: none"> a. Registered company name b. Registered office address c. VAT number d. LEI 3. The Appendix 2 should be completed, signed with an authorised signature and returned by post to Account Administration at REGIS-TR. The correctness of information can be always checked in our MED database tool. <p>For further information, or for a pre-check of your completed form, please contact your Relationship Manager or the Relationship Management team. Further information: see Contacts</p>
Billing address for electronic invoices via e-mail distribution	<p>Unless an alternative email address for billing purposes has been specified in Appendix 2, the email of the administrator will be registered as the default recipient. If you prefer a different email address, multiple email addresses, mailbox, or group email to be registered, the administrator can maintain the email addresses in our MED database tool or get in touch with Contacts.</p> <p>We recommend the use of a group email address should you wish the invoice to be sent to multiple recipients.</p>
Invoice dates	<ol style="list-style-type: none"> 1. Reporting participants receive a monthly invoice for the preceding calendar month.

	<p>2. Non-reporting participants receive an annual invoice for the current calendar year.</p> <p>Note: Annual invoices are adjusted pro-rata where the permission period is less than a calendar year.</p>									
	<table border="1"> <thead> <tr> <th>Account type</th> <th>Invoice period</th> <th>Invoice date</th> </tr> </thead> <tbody> <tr> <td>Reporting participant and Third Party participants</td> <td>Monthly</td> <td>Last calendar day of the billing month. Note: sent to the client no later than the 6th REGIS-TR working day of the month following the invoice period.</td> </tr> <tr> <td>Non-Reporting participant</td> <td>Annually</td> <td>Last day of January. Note: sent to the client no later than: <ul style="list-style-type: none"> 6th REGIS-TR working day of February, or 6th REGIS-TR working day of the month following the month in which the permission was opened. </td> </tr> </tbody> </table>	Account type	Invoice period	Invoice date	Reporting participant and Third Party participants	Monthly	Last calendar day of the billing month. Note: sent to the client no later than the 6 th REGIS-TR working day of the month following the invoice period.	Non-Reporting participant	Annually	Last day of January. Note: sent to the client no later than: <ul style="list-style-type: none"> 6th REGIS-TR working day of February, or 6th REGIS-TR working day of the month following the month in which the permission was opened.
Account type	Invoice period	Invoice date								
Reporting participant and Third Party participants	Monthly	Last calendar day of the billing month. Note: sent to the client no later than the 6 th REGIS-TR working day of the month following the invoice period.								
Non-Reporting participant	Annually	Last day of January. Note: sent to the client no later than: <ul style="list-style-type: none"> 6th REGIS-TR working day of February, or 6th REGIS-TR working day of the month following the month in which the permission was opened. 								
Invoice currency	All fees are calculated, invoiced and charged in euro (EUR), net of Value Added Tax (VAT).									
Invoice minimum	REGIS-TR will not invoice clients for monthly totals under EUR 5.00.									
VAT	<p>Clients must make their payments without deduction of any tax. REGIS-TR does not charge VAT except where:</p> <ol style="list-style-type: none"> the client is domiciled in Luxembourg, or is an EU entity without a valid VAT identification number. <p>For these clients, REGIS-TR will apply VAT at the rate for financial services applicable in Luxembourg.</p> <p>Clients are required to provide their VAT identification number to REGIS-TR to ensure a correct VAT calculation. The net fees, applicable VAT rate, VAT amount and total fees including VAT are stated on the invoice.</p>									
Decimals	Our fees are calculated to five decimal places. Numbers on our invoices are shown with a maximum of two decimal places. Example: A total fee of EUR 729.46251 will appear on an invoice as EUR 729.46 however, unitary fee of 0.00045 will appear on an invoice with 5 decimal places.									

Payments

Introduction	Invoices are due for payment within 30 (thirty) calendar days of the invoice date. Please note that payments must be made for the full invoice amount, without deductions.
Payment options	Payments may be made by direct debit or credit transfer.
Direct debit	<ol style="list-style-type: none"> For direct debit payments, please use the SEPA payment process. The bank account that issued the direct debit mandate has a right of refund for 8 weeks after the debit date. A SEPA mandate form is available on the REGIS-TR website Please complete the mandate and send it to the address given on the mandate form.

	Note: For further information, or for a pre-check of your completed SEPA mandate, please contact your Relationship Manager or the Relationship Management team .	
Credit transfer	Please remit payments by credit transfer using the bank details below and include the following details from your invoice: <ol style="list-style-type: none"> 1. Invoice number 2. Invoice date 3. Customer ID 	
Bank details for credit transfer:	Bank	BGL BNP Paribas
	Account Name	REGIS-TR S.A.
	BIC:	BGLLLULL
	IBAN:	LU63 0030 6687 5672 0000

Unpaid invoices

Administration fee	If an invoice is not paid within 30 (thirty) calendar days of the invoice date, REGIS-TR reserves the right to apply an administration fee per invoice, whether or not a reminder has been issued. Note: The administration fee for unpaid invoices is applied from the payment due date and is cumulative onwards.
Further actions on unpaid invoice	Where a client fails to make payment of an invoice when due, REGIS-TR shall be entitled, without prejudice to any other remedy it might have under any agreement or under law, to: <ul style="list-style-type: none"> • Require the client to make an immediate payment of all due invoices, including those not yet overdue, and/or • Suspend the provision of services to the client.

INVOICE LAYOUT

Page 1 layout

1 Egan Elliott S.A.
 1 rue d'Lux
 Luxembourg
 L1234
 LUXEMBOURG

2 **Invoice No.:** 91*****
 3 **Invoice date:** 31.01.2024
 4 **Issue date :** 01.02.2024
 5 **Customer No.:** 1234567

6 **Tax number:** LU*****

7 **REGIS-TR S.A., Société Anonyme**
 15, rue Léon Laval, L-3372 Leudelange
 Grand Duchy of Luxembourg
 R.C.S. Luxembourg B 157.650

VAT identification number: LU24646726

8 **Contact:** REGIS-TR Billing team
 E-mail: regis-tr-invoices@regis-tr.eu

9 **Billing Period:** January 2024

10 **Fee Summary (in EUR)**

Services	Total Fees Net in EUR	VAT Rate %	VAT Amount in EUR	Total Fees Gross in EUR
EMIR Services	1,793.52	0.0	0.00	1,793.52
Total Amount	1,793.52		0.00	1,793.52

11 **Terms of payment:** Up to 28.02.2024 without deduction

Please transfer the invoice amount to our bank account. Thank you for indicating invoice number and invoice date on the payment.

12 The invoice amount of EUR 1,793.52 will be withdrawn from your Bank account LU***** according to our agreement.

13 Outside the scope of Luxembourg VAT according to VAT code Art. 17.1.b); reverse charge

14 Reporting fees are calculated using rates with up to 5 decimal places as per the fee schedule.

For display purposes, the table "Invoice - Details" shows the condensed values with 2 decimal places.

15 **Bank details**

BGL BNP PARIBAS LUXEMBOURG 2951, SWIFT/BIC BGLLLULLXXX, IBAN LU630030668756720000
 Beneficiary: REGIS-TR, Société Anonyme

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Explanations to page 1 of the invoice

Item	Content	Description
1	Billing address	Beneficiary address as provided in your Application Form (Appendix 2). A new Appendix 2 is required for updates to registered office name/address, VAT number or LEI.
2	Invoice number	Sequential 8-digit number. Please quote this number in payment instructions or invoice enquiries.
3	Invoice date	This is the billing date.
4	Issue date	This is the issue date.
5	Customer	7-digit number. Please quote this number in payment instructions and/or if you contact REGIS-TR about the invoice.
6	Tax number	VAT code or number.
7	REGIS-TR company details	Registered company address, VAT ID and Trade Register ID.
8	Contact	Please use these details for invoicing and/or payment queries. Other queries can be directed to Client Services or the Relationship Management team .
9	Billing period	Period in which the invoiced services were delivered. Dates are inclusive.
10	Fee Summary	States VAT rate and amount, total fees net and gross.
11	Credit transfer instructions	Please note that payment is required within 30 days of the invoice date. Full payee bank details are set out in the invoice footer.
12	Direct debit statement	Confirmation that REGIS-TR will withdraw the invoiced amount from the account specified. Note that the payment is taken within 30 days of the invoice issue date.
13	VAT statement	VAT exemption statement (mandatory under EU and Luxembourg law).
14	Additional information	Provides information how the fees are calculated with 5 decimal places but invoice values are condensed to 2 decimal values
15	Credit transfer details	Payee bank details for payment by credit transfer.

Page 2 layout

Contents	Breakdown of service charges by fee type and participant ID, volume usage where applicable, VAT and base amount.
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Invoice No.: 91*****
Invoice date: 31.01.2024
Issue date : 01.02.2024
Customer No.: 1234567

Fees payable by Fee Code					
EMIR Services					
Participant ID / Description Fee Code	Volume	Price in EUR	VAT Rate %	VAT	Base Amount in EUR
Participant ID: RPab01					1,078.52
7300 RTR EMIR Membership					715.00
Standard	1	715.00000	0.00	0.00	715.00
7320 RTR EMIR Maintenance					363.52
Open positions	4,544	0.08000	0.00	0.00	363.52
Participant ID: TPab01					715.00
7300 RTR EMIR Membership					715.00
Standard	1	715.00000	0.00	0.00	715.00