

Privacy notice

Under this Privacy notice, REGIS-TR, société anonyme, a company incorporated under the laws of the Grand Duchy of Luxembourg having its registered office located at 15, rue Léon Laval, L-3372 Leudelange, Grand Duchy of Luxembourg and registered with the Trade and Companies Register of Luxembourg under number B157650 (hereinafter “we”, “us”, “our”), informs you how we process your personal data. Your personal data means any information relating to you (hereinafter the “Personal Data”), such as name or contact details.

We pay special attention on the processing of Personal Data in accordance with the General Data Protection Regulation EU 2016/679 (hereinafter “GDPR”).

Capitalised words and expressions in this Privacy notice shall have the same meaning when used in the Agreement, unless the context otherwise requires or unless otherwise defined in this Privacy notice.

CONTROLLER

Our identity and contact details

REGIS-TR S.A.
15, rue Léon Laval
L-3372 Leudelange
Grand Duchy of Luxembourg

Data Protection Officer

Data Protection Officer
REGIS-TR S.A.
15, rue Léon Laval
L-3372 Luxembourg
Grand Duchy of Luxembourg
dataprotection@six-group.com.

PURPOSES, CATEGORIES OF PERSONAL DATA, SOURCES, LEGAL BASIS AND RETENTION

In the context of the services provided, we collect your personal data directly from you when communicating with you with regards to setting up a service for you and fulfilling our contractual obligations under that service.

Your Personal Data will be processed by us for the following purposes:

Our Regulatory Reporting Services

Basic personal data (contact details, signature, ID/passport, tax identification number, etc.) of employees of subscribers and regulatory authorities is processed for the subscription (onboarding) to the specific reporting service, on an ongoing basis (know-your-customer checks and sanctions screening) and for its billing purposes. The information is used to create authorised user profiles and to set-up account security measures. The processing takes place in order to fulfil contractual obligations according to Art. 6 (1) (b) GDPR.

During the Reporting Services, it may be possible that the “Contractual Data” contains Personal Data which processing is necessary to fulfil our responsibilities as a trade repository. The processing takes place based on statutory regulations (Art. 6 (1) (c) GDPR).

Direct Communication

The processing takes place within the scope of the balancing of interests (Art. 6 (1) (f) GDPR) namely our legitimate interests in responding to your request.

Business Development and Customer Management

Your Personal Data is processed in follow-up on your service and product requests, establishing and maintaining business relationships, and informing about new products and services of RTR and its affiliates. The processing takes place within the scope of the contract, as well as balancing of interests (Art. 6 (1) (f) GDPR) namely our legitimate interests in maintaining business relationships with you

Newsletter

We process your email address (or other Personal Data depending on the channel of communication) if you wish to receive our regular newsletter, notices, flash news or key info broadcasts. The processing takes place based on the consent (Art. 6 (1) (a) in accordance with Art. 7 GDPR) you have given to us.

Fulfilment of Compliance Regulations

Your Personal Data will be processed at onboarding and on an ongoing basis for the execution of verifications regarding terrorism, anti-money laundering, corruption or sanctions.

Events and Conferences

Your Personal Data may be processed for the organisation and the execution of events, conferences or similar. The processing takes place in order to fulfil contractual obligations according to Art. 6 (1) (b) GDPR and within the scope of the balancing of interests (Art. 6 (1) (f) GDPR) namely our legitimate interests in the execution of the event, collaboration during events and event security.

Website Security

Our web servers will store the shortened internet protocol address (“IP address”) that is assigned to you by your internet service provider (i.e. the shortened IP address), the name of the website from where you visit us, our websites that you visit, the used web browser type and the date and duration of your visit. Unless you complete the login procedure, any relation to an individual person is not established due to the shortened IP address.

TRANSFER OF PERSONAL DATA TO THIRD PARTIES

Any transfer of Personal Data that you provide to us to EU service providers (e.g. for purposes of IT hosting, operation and support), is based on prior signed data processing agreements according to GDPR requirements.

If we transfer Personal Data that you provide to us to third parties that are located outside the European Union, we use so-called EU Model Clauses (see EU Model Clauses under https://ec.europa.eu/info/law/law-topic/data-protection/international-dimension-data-protection/standard-contractual-clauses-scc_en) or other appropriate safeguards if there is no adequacy decision by the European Commission for such country.

We are primarily supervised by the European Securities and Markets Authority (referred to as “ESMA”) and Swiss Financial Market Supervisory Authority (referred to as “FINMA”). Personal Data may be transferred to regulatory authorities if it is required by the applicable law.

RETENTION PERIODS

Your Personal Data as set out above is processed as long as (i) necessary for the respective purpose, (ii) necessary to carry out our relationship (contract or communication) with you, (iii) we have obtained your consent separately, and/or (iv) required by applicable statutory retention laws (e.g. deriving from EMIR, FinfraG or SFTR).

RIGHTS OF THE INDIVIDUAL

Under applicable data protection laws, you have the right

- a) of access to, rectification of, and/or erasure of your Personal Data;
- b) to restrict the processing of your Personal Data;
- c) to tell us that you do not wish to receive marketing information; and
- d) (in some circumstances) to require the transfer of your Personal Data by contacting us at the details set out at the beginning of this Privacy notice.

To the extent our processing of the Personal Data is based on your consent, you also have the right to withdraw the consent, without affecting the lawfulness of our processing based on your consent before its withdrawal. To exercise your rights, we invite you to contact us.

You can also lodge a complaint about our processing of your Personal Data with a data protection authority, in particular, in the EU Member State of your habitual residence, place of work or of an alleged infringement of applicable data protection laws.

Our competent Data Protection Authority

Commission nationale pour la protection des données
15, Boulevard du Jazz
L - 4370 Belval
Grand Duchy of Luxembourg
Tel.: +352 26 10 601