

SFTR Appendix 3 – Closing request form

Date _____

Registered company name _____

Account type ☐ Reporting Participant
☐ Third Party Internal
☐ Third Party External
☐ Non-Reporting Entity

Account(s) to be closed _____

Reference is made to Article 4 of the General Terms and Conditions.

We hereby request to close definitively the above-mentioned Account according to Articles 4 and 14 of the General Terms and Conditions by termination of the Member Agreement with a notice period of one month from the date of reception of this letter by REGIS-TR S.A.

We acknowledge that, according to Article 4 of the General Terms and Conditions, all active Registered Data shall be removed from this Account and all due fees shall be paid at the closing date.

This duly signed termination request is sent in original together with the form as set out in Article 16.4 of the General Terms and Conditions.

We acknowledge and accept that REGIS-TR S.A. may notify this termination to other Members.

Reason for termination (optional): ☐ Change of trade repository
☐ End of delegation of reporting in the future or change of account type
☐ Delegation of reporting to the counterparty or a third party
☐ No provision of delegated reporting activity in the future
☐ No trading activity in the future subject to reporting obligation
☐ Change of juridical nature of entity (merger, acquisition, liquidation etc.)
☐ Other: _____

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The Client agrees that this form, in addition to a handwritten signature - in which case it shall be signed in duplicate and to a single effect - may be signed electronically by means of a valid electronic signature recognised by the applicable regulations, which they declare to be binding so that any requirement in this form to a document being "signed" shall be interpreted accordingly.

Authorised signature

Name

Title

Date

Authorised signature

Name

Title

Date

Please sign this form and return it to:

REGIS-TR S.A.

Client Onboarding

Calle de la Tramontana, 2bis

E - 28231 Las Rozas de Madrid - Madrid

Or: <mailto:onboarding@regis-tr.com>