

Account request

- Request type
- ☐ New Account application
- ☐ Modification to existing Account
- Account type
- ☐ Reporting Participant
- ☐ Third Party Internal
- ☐ Third Party External
- ☐ Non-Reporting Entity

Your company

Full registered company name

Legal Entity Identifier (LEI)

VAT number

Registered office address

Town/City

Postcode

Country

Central Coordinator: contact details

Name of Central Coordinator

Address

Town/City

Postcode

Country

Telephone

Mobile

Corporate email

Central Coordinator: signature

Signature of Central Coordinator

IT Coordinator: contact details

The IT Coordinator will be the first point of contact for REGIS-TR in the event of technical or operational issues. **Note:** the same person may act as both IT and Central Coordinator.

Name of IT Coordinator	
Address	
Town/City	
Postcode	
Country	
Telephone	
Mobile	
Corporate email	

Connectivity option

Please select an option for automated file transfers, if required. **Note:** All Clients have access to the EMIR Dashboard for secure file transfers.

Protocol for automated file transfers	<input type="checkbox"/> SFTP
	<input type="checkbox"/> SOAP Web Service

IP address(es) for SFTP/SOAP	
------------------------------	--

## Outbound reports

Format of outbound reports

☐ XML

☐ CSV

End of Period Reports

**Reporting Participant**

**Third Party Internal/External**

Daily reports

- ☒ DB01 - Trade Status (B001)
  - ☒ DB10 - ETD Trades (B010)
  - ☒ DB11 - Reconciliation Status (B010)
  - ☒ DB13 - Mismatched Fields (B010)
  - ☐ DB14 - Portability (B010)
  - ☒ DB31 - Short Table Live Contracts (B001)
  - ☒ DB33 - Mismatched Fields (B001)
  - ☒ DB34 - Common Data Report (B001) Third Party accounts only
  - ☐ DB37 - Portability (B001)
  - ☒ DB39 - Short Table Inactive Contracts (B001)
  - ☒ DB50 - TRQ40 (LEI changes) (B001 and B010)
- Weekly reports
- ☒ WB33 - Weekly Mismatched Fields (B001)
  - ☒ WB40 - Full Table Live Contracts (B001)
- Monthly reports
- ☐ MB50/MB51 - Reporting Fee (B001)
  - ☐ MB52/MB53 - Maintenance Fee (B001)
  - ☐ MB54/MB55 - Reporting Fee (B010)

End of Period Reports

**Non-Reporting Entity**

Daily reports

- ☒ DB01 - Trade Status report (B001)
  - ☒ DB10 - ETD Trades (B010)
  - ☒ DB11 - Reconciliation Status (B010)
  - ☐ DB13 - Mismatched Fields (B010)
  - ☒ DB31 - Short Table Live Contracts (B001)
  - ☒ DB33 - Mismatched Fields (B001)
  - ☒ DB39 - Short Table Inactive Contracts (B001)
  - ☒ DB50 - TRQ40 (LEI changes) (B001 and B010)
- Weekly reports
- ☒ WB33 - Weekly Mismatched Fields (B001)
  - ☒ WB40 - Full Table Live Contracts (B001)

**Billing**

Name of the entity to be invoiced	
Email address of the invoice recipient	
Name of contact person	
Department/job title	
Address	
Town/City	
Postcode	
Country	

**Billing family group**

If your company is part of a family group, please complete this section to identify the ultimate parent company of the group. This is not applicable for Third Party External Accounts. Please see the Fee Schedule for further information.

Full registered name of ultimate parent	
Legal Entity Identifier (LEI)	
Registered office address	
Town/City	
Postcode	
Country	

Production environment: user details

For more than two users, please use Appendix 2 Annex 1 – User modification form.

User 1

Request type:

- ☐ Add new user
- ☐ Modify/update user details
- ☐ Cancel user

Full name

Address

Town/City

Postcode

Country

Telephone

Mobile

Corporate email

User 2

Request type: ☐ Add new user  
☐ Modify/update user details  
☐ Cancel user

Full name

Address

Town/City

Postcode

Country

Telephone

Mobile

Corporate email

### Declaration concerning Central Coordinator

The Client acknowledges that the Central Coordinator is hereby empowered to duly and validly amend solely its details, the IT coordinator details, user contact details, payment details, connectivity channels, outbound report details and any other future details that may be added to the Central Coordinators' permissions.

The Client agrees that this form, in addition to a handwritten signature - in which case it shall be signed in duplicate and to a single effect - may be signed electronically by means of a valid electronic signature recognised by the applicable regulations, which they declare to be binding so that any requirement in this form to a document being "signed" shall be interpreted accordingly.

\_\_\_\_\_  
Authorised Signature

Name \_\_\_\_\_

Title \_\_\_\_\_

Date \_\_\_\_\_

\_\_\_\_\_  
Authorised Signature

Name \_\_\_\_\_

Title \_\_\_\_\_

Date \_\_\_\_\_

Please sign this form and return it to:

REGIS-TR S.A.

Client Onboarding

Calle de la Tramontana, 2bis

E - 28231 Las Rozas de Madrid - Madrid

Spain

Or: <mailto:onboarding@regis-tr.com>

---

Documents to be attached

For instructions on this form and the required copies of documents to be attached, please refer to the ACCOUNT SETUP SERVICES chapter in the UK EMIR Client Handbook available on REGIS-TR's website.

Further information

Please contact Relationship Management for business related enquiries:

- telephone +44 (0)207 550 5000
- email [commercial@regis-tr.com](mailto:commercial@regis-tr.com).

Please contact the REGIS-TR Client Service for technical support:

- telephone +34 91 709 55 70
- email [UKemir-support@regis-tr.com](mailto:UKemir-support@regis-tr.com).