

Reporting Accounts

EMIR REFIT & UK EMIR REFIT



Introduction

REGIS-TR is a leading European Trade Repository providing comprehensive reporting services across the major European regulatory frameworks. Established in Luxembourg in 2010, REGIS-TR is today one of the largest Trade Repositories for both EMIR and UK EMIR reporting.

The introduction of EMIR REFIT through ESMA's Regulatory Fitness and Performance Program has brought substantial updates to the reporting framework. Similarly, the Financial Conduct Authority (FCA) and the Bank of England have implemented UK EMIR REFIT, adding further complexity to reporting requirements. These changes include an enhanced XML reporting format, new validation rules, and additional obligations for market participants to monitor and manage exceptions.

In this context, REGIS-TR offers a dedicated service for entities in scope of these regulations—both ETD and OTC derivatives—that report their own information or for those that have delegated their reporting to another party. This document will provide an overview of the Client Portal for those participants that have decided to report their own information or report on behalf of other entities.



Accounts Permissions

In order to comply with EMIR and UK EMIR regulations, REGIS-TR offers different Account permissions for the Trade Repository service, based on the different clients' needs. In this regard, it is possible to choose between the following options:

- Reporting Participant permission, in order to report your own derivatives;
- Third Party permission, to report on behalf of other entities;
- Non-Reporting permission, which is for those entities that delegated the reporting to a third party, but they will have visibility over the reporting made for them.



REGIS-TR Client Portal

My Reporting Area

My Reporting area (comprising the Reporting Dashboard, File Upload and Conversion to ISO sections) is designed for Reporting accounts, where a clear overview of all files submitted by participants, organised into dedicated subsections for easy navigation, is provided.



My reporting area is divided into the following subsections:

- **Reporting Dashboard:** this section offers a summary of the files submitted by the participant through any connectivity method and the response files generated by the Trade Repository as feedback. It can be used as an overview of the information reported where the accepted and rejected messages can be analysed for a specific date range.

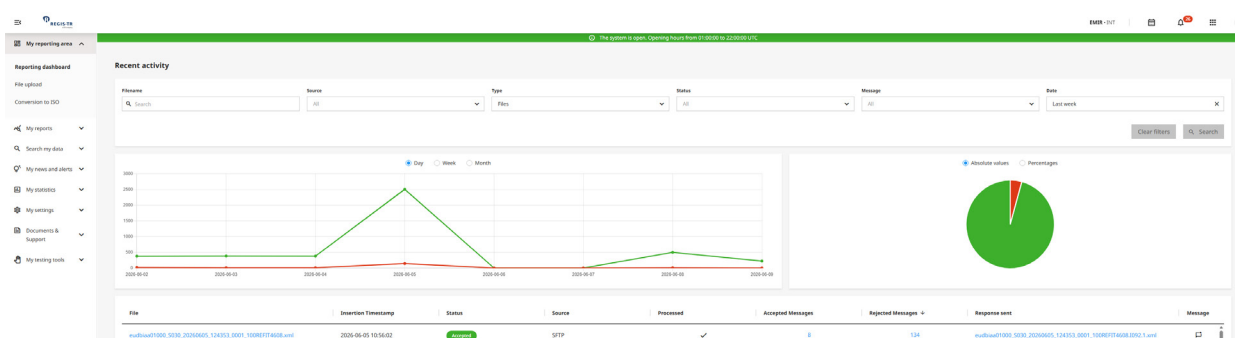


Figure 1: Reporting Dashboard section

- **File upload:** this section should be used by participants to submit the corresponding XML files created following the ISO 20022 schema. The corresponding processing status of the file can be checked here as well. It is possible to submit files using SFTP or API Connectivity channels apart from this module.
- **Conversion to ISO:** this section is used to convert a CSV file created under specific rules into a XML file supported by the regulation. Once the file is converted from CSV format to XML, the user can report the file for processing, as the regulation only allows XML format for reporting. This is an additional service provided by the Trade Repository, for which a specific permission is required.

My Reports

In this section, participants can access all response files and End-of-Day reports generated by the Trade Repository. These reports offer essential insights into your reporting activity, including accepted and rejected messages, the latest status of reported derivatives, reconciliation outcomes, and alerts identifying potential missing information.



- **Intraday Feedback** provides the response files issued by REGIS-TR following the submission of a reporting file.
- **Standard Reports** allows you to download the End-of-Day reports automatically generated in line with EMIR and UK EMIR requirements. These reports are produced after the close of each daily reporting session.
- **Customised Reports** give access to on-demand reports requested through *My Settings / Report Settings*.

In addition to the mandatory XML format, REGIS-TR also offers these reports in CSV format as a flexible alternative to support easier interpretation and analysis. Furthermore, the reports can also be delivered through SFTP or API connectivity channels.

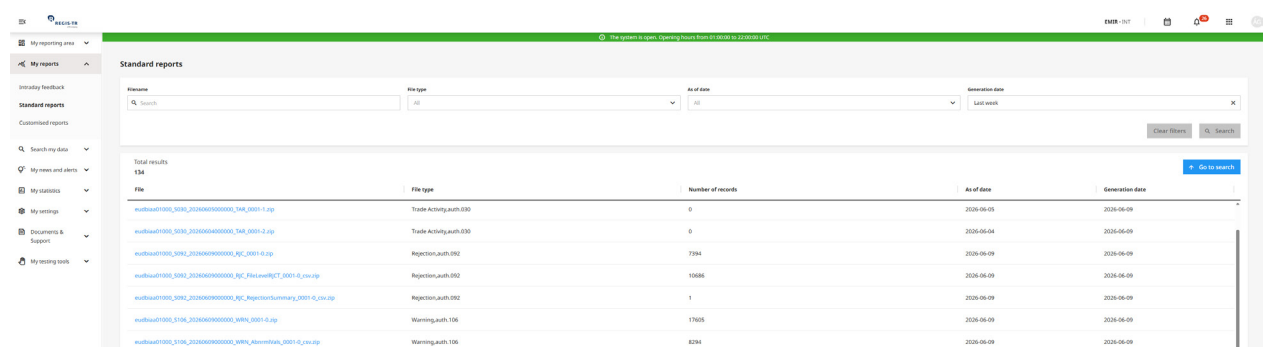
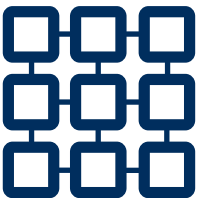


Figure 2: Standard reports section

Search My Data

This section is a key component of the REGIS-TR’s Client Portal. It is structured into several sub-sections designed to support efficient data search, filtering, grouping, sorting, and exporting, enabling participants to analyse their information with ease. The section is organised into Trade Activity, Rejections, Trade State, Reconciliation, and Warn-ings.



The **Search My Data** area allows users to run queries across nearly all available regulatory fields, making it possible to retrieve highly specific datasets and identify trends or patterns based on the returned results. Each sub-section also includes the My Saved Searches functionality, which enables users to create and store personal-ised search configurations (including selected fields) for quick reuse whenever needed.

Trade Activity sub-section will show information of all lifecycle that have been reported on behalf of the partic-ipant and they passed all the validations.

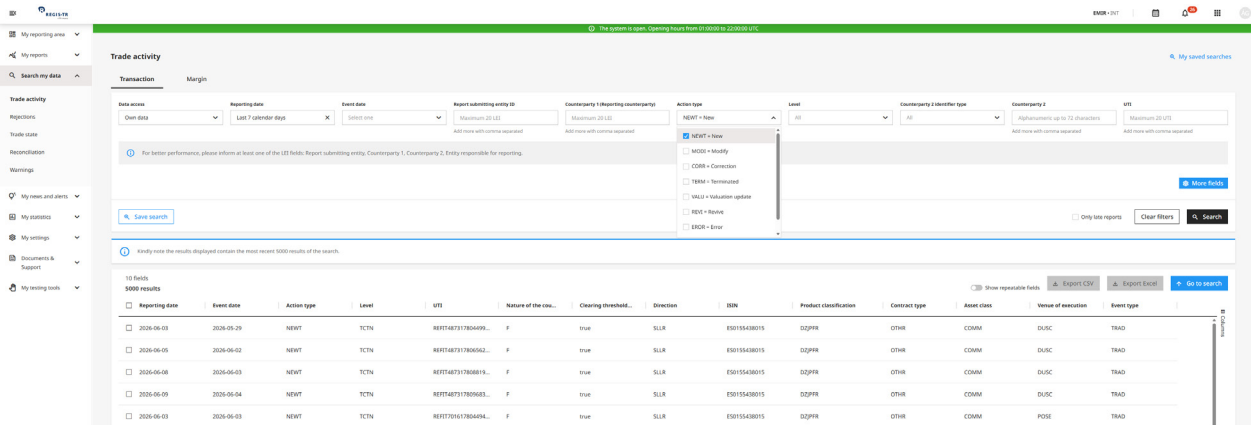


Figure 3: Search My Data/Trade Activity searches

Rejections

Transaction Margin

Data access
Own data

Reporting date
Last 7 calendar days

Event date
Select one

Report submitting entity ID
Maximum 20 LSI
Add more with comma separated

Name of the file
Up to 100 characters
Add more with comma separated

Counterparty 1 (reporting counterparty)
Maximum 20 LSI
Add more with comma separated

Action type
TEEM = Terminated , REVI = Revise

Counterparty 2 identifier type
All

Counterparty 2
Alphanumeric up to 12 characters
Add more with comma separated

UTI
Maximum 20 UTI
Add more with comma separated

Rejection Type
All

Error Code
All

For better performance of Rejections searches, populate fields Report Submitting Entity ID + Name of the file

Save search Show results Clear filters Search

14 fields
1407 results

☒	Reporting date	Error Code	Error description	Event date	Name of the file	Action type	UTI
☐	2026-06-09	EMIR-VR-2119-01	[Delivery point or zone] this field shall be reported with a valid EC code as per ACER database for delivery points within the European Union, or with 16 alphanumeric characters "XXXXXXXXXXXXXXX"	2026-06-09	eutbaa01000_5030_2026..	REVI	BEPTT651317806982..
☐	2026-06-09	EMIR-VR-2151-05	[Action type] Action type TEEM can be performed only over Outstanding contracts	2026-06-09	eutbaa01000_5030_2026..	TERM	BEPTT519217809971..
☐	2026-06-09	EMIR-VR-2004-04, E..	[Subsequent position UTI] this field cannot contain the same code of the field 2.1 [UTI], 2.2 [Report tracking number], or 2.3 [Prior UTI]; [UTI] this field cannot contain the same code of the field 2.2 [Report tracking n..	2026-06-09	eutbaa01000_5030_2026..	REVI	BEPTT421917809679..
☐	2026-06-09	EMIR-VR-2151-05	[Action type] Action type TEEM can be performed only over Outstanding contracts	2026-06-09	eutbaa01000_5030_2026..	TERM	BEPTT603481780971..
☐	2026-06-09	EMIR-VR-2151-06	[Action type] Action type REVU cannot be submitted over outstanding contracts.	2026-06-08	eutbaa01000_5030_2026..	REVI	BEPTT603481780971..
☐	2026-06-09	EMIR-VR-2040-01	[PTRS service provider] LEI informed is not a valid LEI	2026-06-09	eutbaa01000_5030_2026..	REVI	BEPTT474917809675..
☐	2026-06-09	EMIR-VR-2151-04	[Action type] After action type EROR is reported by a counterparty, the only allowed action type to be submitted by that counterparty for the given UTI is 'REVU'.	2026-06-08	eutbaa01000_5030_2026..	TERM	BEPTT51681780971..

In contrast to the sections described above, the **Rejections area** displays messages that have been rejected because at least one validation rule was not met by the submitting entity. These messages must be re-submitted by the Report Submitting Entity in order to be accepted.

Each line of the shown table represents a rejected message. The fields that triggered the rejection are highlighted, and all rejection details can be viewed in an interactive format.

RECONCILE

- My reporting area ▼
- My reports ▼
- Search my data ▼
- Trade history
- Arguments
- Trade date
- Reconciliation
- Warnings
- My news and alerts ▼
- My statistics ▼
- My settings ▼
- Documents & Support ▼
- My testing tools ▼

The system is open. Closing hours from 01:00:00 to 22:00:00 UTC.

Reconciliation

Transaction

Base asset	Event date	Counterparty 1	Reconciliation source	Counterparty 2 (reporting counterparty)	Reporting timestamp
Coin date Last 7 calendar days X Minimum events up to 33 characters	Last 7 calendar days Add more with comma separated	DSXA = Dual-sided, DSA, non-matched after complete ... Add more with comma separated	Maximum 20 chars	Maximum 20 chars	Select one

For better performance, please inform at least one of the US-Bits Fields Report submitting entity, Counterparty 1, Counterparty 2, Entity responsible for reporting.

[Save search](#)

8 Fails
2761 results

☐	Event Date	Mismatched amount	Reconcile Status	Reporting timestamp	UTL	Details	Action type	Country of the counterparty 2	Contract Status Date
☐	REFIT10022RECCHVT20260604778555055289UJ								
	2026-06-02	5	DSXA	2026-06-03 05:16:34.00...	REFIT10022RECCHVT...		NEWBT	ES	2027-05-30
	2026-06-02	5	DSXA	2026-06-03 05:16:34.00...	REFIT10022RECCHVT...		NEWBT	ES	2027-05-30
☐	REFIT10022RECCHVT2026060917806789887UJB								
	2026-06-07	8	DSXA	2026-06-08 05:48:02.00...	REFIT10022RECCHVT...		NEWBT	ES	2027-06-04
	2026-06-07	8	DSXA	2026-06-08 05:48:02.00...	REFIT10022RECCHVT...		NEWBT	ES	2027-06-04
☐	REFIT10049RECCHVT20260604778555056420BUA								
	2026-06-02	7	DSXA	2026-06-03 05:16:34.00...	REFIT10049RECCHVT...		NEWBT	ES	2027-05-30
	2026-06-02	7	DSXA	2026-06-03 05:16:34.00...	REFIT10049RECCHVT...		NEWBT	ES	2027-05-30

UTC: REFIT10022RECCHVT2026060917806789887UJB

Total mismatch fields

[Export CSV](#) [Export Excel](#)

Instrument field	Value tag 1	Value tag 2
Effective date of the in...		2026-06-10T00:00:00Z
end date of the instrumen...		2026-06-10T00:00:00Z
Notional quantity in eff...		5
Direction	BIDR	
Direction of tag 1		SAME
Direction of tag 2		SAME
Valuation currency	EUR	
Valuation amount	-43336.345	+43336.345

Finally, within Search My Data section there is a specific section for **Warnings information**. This refers to details of derivatives where:

- Valuation update was not submitted or was not updated in the previous 14 days
- Margin update was not submitted or was not updated in the previous 14 days
- Lifecycle events reported with outlier information on the notional fields

The main objective of the information provided here is to help participant ensure the quality of their reporting and provide alerts in case abnormal information is identified.

My Settings

The **My Settings** section of the REGIS-TR's Client Portal provides users with configuration options and information across several key areas.

In the **Report settings** area, users can:

- Request tailored reports filtered by all the regulatory fields, in Customised reports tab:

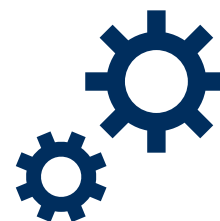


Figure 6: Customised reports section

- Request reports to be delivered in CSV format additionally to XML, in the Standard reports tab.

My Settings Permissions section governs the delegation of reporting responsibilities to another entity, which is the possibility of an entity to delegate the reporting requirements into a different entity. It is divided into two different subsections:

- **Reporting on behalf of other LEIs:** In this section, Third Party permission accounts will be able to submit the necessary delegation requests, to inform the entities for which they will be reporting on their behalf. These requests can be informed in the client portal through a small form or using a dedicated CSV file where many different requests can be submitted in a single file. The delegating entities will accept or reject this request afterwards.
- **LEIs reporting on my behalf:** this screen is used by Non-Reporting permission accounts or Reporting Participants accounts that delegated part of their reporting, as it allows users to Confirm or Reject delegation requests received from submitting entities and review the details of such delegations. In accordance with regulatory requirements, a delegation becomes active only after the delegating entity explicitly accepts it. Until then, the submitting entity cannot report on their behalf.

The third section included in My Settings is Data Access section. This section allows participants to share specific data from your company with other REGIS-TR entities under specific scenarios.

- **Direct Data Access:** Participants can grant another entity access to all trade activity, trade state, rejections, reconciliation, and warning data where the granting entity is listed as Counterparty 1 or Entity Responsible for Reporting.
- **Other Counterparty Data Access:** This option enables entities identified as Counterparty 2 to access the latest state of derivatives where the sharing entity is Counterparty 1 or Entity Responsible for Reporting. Each Counterparty 2 will only see contracts related to its own entity.

The shared information can be reviewed in Search My Data section of the Client Portal and is also included in End-of-Day reports, consolidated with the participant's own data.

From this section, users can view, modify, or revoke any granted or received data access permissions with other REGIS-TR entities.

Authorised entity name	Authorised entity LEI	Status	Authoriser email address	Authorisation date	Submission date	Actions
...	...	Active	o@six-g...	2025-02-21 13:07:01.754251...	2025-02-21 13:0	⋮
...	...	Active	...	...	...	⋮
...	...	Active	...	...	...	⋮
...	...	Pending Confirmation	...	...	...	⋮
...	...	Pending Confirmation	...	...	...	⋮
...	...	Pending Confirmation	...	...	...	⋮

Page Size: 20 1 to 6 of 6 < > Page 1 of 1 >>

Figure 7: Permissions/LEIs Reporting on My Behalf section

My Statistics

This section consolidates and presents key statistics related to participants' reporting and performance in a structured and accessible manner. It aims to deliver a holistic view of the quality of reporting, reconciliation and potential missing information, supported by interactive visualizations and detailed tables that facilitate analysis and decision-making. In addition, 'Statistics' sections include a 'Benchmarking' tab.



The section is divided as it follows:

- **Reporting Statistics:** provides an overview of reporting activity, including accepted and rejected lifecycles grouped by various fields. Users can apply filters and search for specific data, which is presented through two graphical representations and detailed tables. These tables include insights such as top rejected action types, top error codes, top files with rejected records, and top files ranked by percentage of rejected records.



Benchmarking: As previously outlined, both the Reporting and Reconciliation statistics will include a dedicated benchmarking tab. This service provides participants with valuable insights by comparing their performance against aggregated and anonymized industry benchmarks. For Reporting statistics, benchmarking enables participants to assess their rejection rates relative to industry standards.

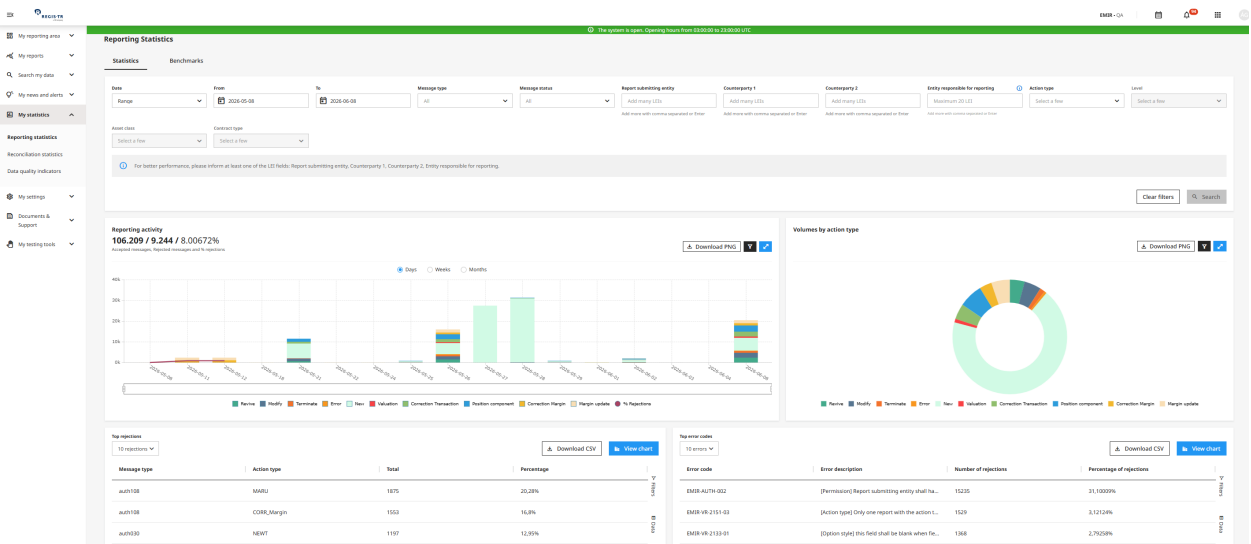


Figure 8: Reporting Statistics section

- **Reconciliation Statistics:** provides users with key metrics on the data reported on their behalf, including the top mismatched reasons, top unpaired counterparties, and top counterparties with mismatches. This section is designed to help identify discrepancies and potential errors compared to counterparties, support clients with insights for improvements in reporting quality. It also features interactive visualizations that make the data easier to interpret and reveal patterns that may indicate reporting issues.



Benchmarking: For Reconciliation data, it highlights unpairing and mismatching rates across entities within the industry.

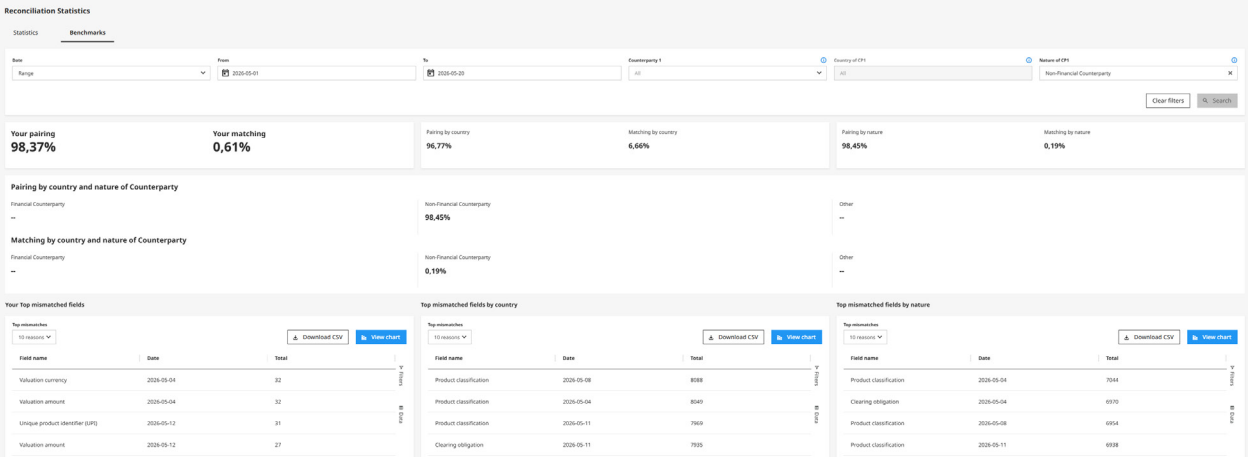


Figure 9: Reconciliation Statistics Benchmarking section

- **Data Quality Indicators:** this section includes different indicators that can be used as real-time assessment of reporting quality aligned with those defined by regulators. It can be reviewed to identify under/over-reporting and trade inconsistencies, to detect pairing and reconciliation breaks with counterparties, inconsistencies across lifecycle events, potential missing valuations or late reporting, for example.

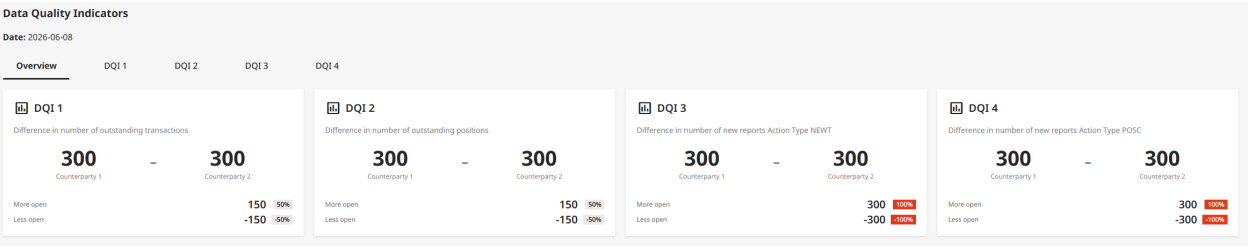


Figure 10: Data Quality Indicators Overview

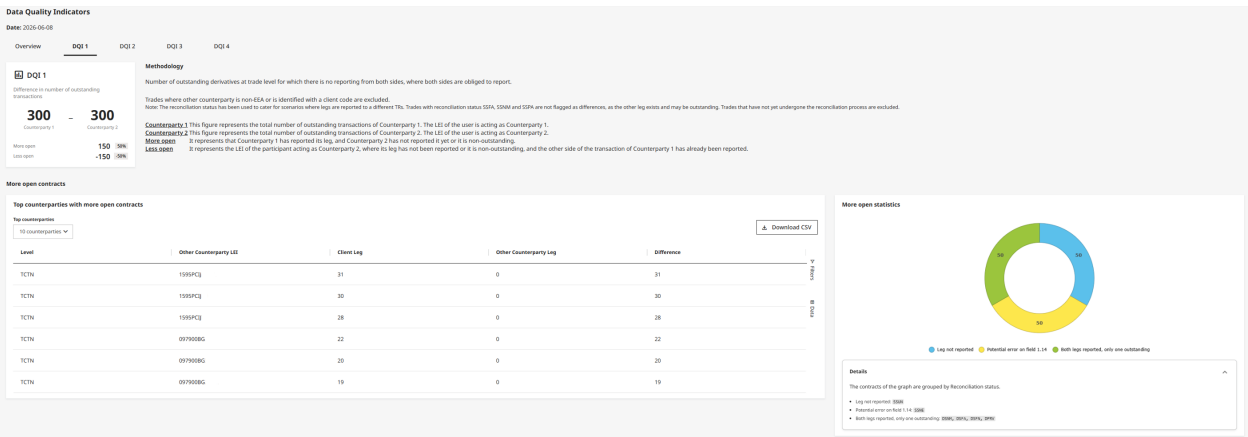


Figure 11: Difference of open transactions Data Quality Indicator

Documents and communications

The Client Portal includes a dedicated **Documentation** section that provides users with access to a comprehensive range of resources such as, handbooks, guides, templates and a detailed documentation on system functionalities. These different materials available in the Documentation section serve as a single point of reference for participants seeking clarity or guidance about our system.

On the other hand, all REGIS-TR participants under EMIR and UK EMIR receive regular communications containing essential updates and information. These communications include announcements on regulatory or operational changes, system enhancements, new features, and relevant industry news. The objective is to ensure that participants remain fully informed about developments that may impact their reporting obligations or system usage, thereby supporting compliance and operational efficiency.



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